

Subpart J—Field Testing and Portable Emission Measurement Systems

§ 1065.901 Applicability.

(a) *Field testing.* This subpart specifies procedures for field-testing engines to determine brake-specific emissions using portable emission measurement systems (PEMS). These procedures are designed primarily for in-field measurements of engines that remain installed in vehicles or equipment in the field. Field-test procedures apply to your engines only as specified in the standard-setting part.

(b) *Laboratory testing.* You may optionally use PEMS for any laboratory testing, as long as the standard-setting part does not prohibit it for certain types of laboratory testing, subject to the following provisions:

(1) Follow the laboratory test procedures specified in this part 1065, according to § 1065.905(e).

(2) Do not apply any PEMS-related field-testing adjustments or “measurement allowances” to laboratory emission results or standards.

(3) Do not use PEMS for laboratory measurements if it prevents you from demonstrating compliance with the applicable standards. Some of the PEMS requirements in this part 1065 are less stringent than the corresponding laboratory requirements. Depending on actual PEMS performance, you might therefore need to account for some additional measurement uncertainty when using PEMS for laboratory testing. If we ask, you must show us by engineering analysis that any additional measurement uncertainty due to your use of PEMS for laboratory testing is offset by the extent to which your engine’s emissions are below the applicable standards. For example, you might show that PEMS versus laboratory uncertainty represents 5% of the standard, but your engine’s deteriorated emissions are at least 20% below the standard for each pollutant.

EFFECTIVE DATE NOTE: At 73 FR 37344, June 30, 2008, § 1065.901 was amended by revising paragraphs (b) introductory text, and (b)(2), effective July 7, 2008. For the convenience of the user, the revised text is set forth as follows:

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(b) *Laboratory testing.* You may use PEMS for any testing in a laboratory or similar environment without restriction or prior approval if the PEMS meets all applicable specifications for laboratory testing. You may also use PEMS for any testing in a laboratory or similar environment if we approve it in advance, subject to the following provisions: * * *

(2) Do not apply any PEMS-related field-testing adjustments or measurement allowances to laboratory emission results or standards.

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§ 1065.905 General provisions.

(a) *General.* Unless the standard-setting part specifies deviations from the provisions of this subpart, field testing and laboratory testing with PEMS must conform to the provisions of this subpart.

(b) *Field-testing scope.* Field testing conducted under this subpart may include any normal in-use operation of an engine.

(c) *Field testing and the standard-setting part.* This subpart J specifies procedures for field-testing various categories of engines. See the standard-setting part for specific provisions for a particular type of engine. Before using this subpart’s procedures for field testing, read the standard-setting part to answer at least the following questions:

(1) How many engines must I test in the field?

(2) How many times must I repeat a field test on an individual engine?

(3) How do I select vehicles for field testing?

(4) What maintenance steps may I take before or between tests?

(5) What data are needed for a single field test on an individual engine?

(6) What are the limits on ambient conditions for field testing? Note that the ambient condition limits in § 1065.520 do not apply for field testing.

(7) Which exhaust constituents do I need to measure?

(8) How do I account for crankcase emissions?

(9) Which engine and ambient parameters do I need to measure?

(10) How do I process the data recorded during field testing to determine if my engine meets field-testing standards? How do I determine individual test intervals? Note that “test interval” is defined in subpart K of this part 1065.

(11) Should I warm up the test engine before measuring emissions, or do I need to measure cold-start emissions during a warm-up segment of in-use operation?

(12) Do any unique specifications apply for test fuels?

(13) Do any special conditions invalidate parts of a field test or all of a field test?

(14) Does any special “measurement allowance” apply to field-test emission results or standards, based on using PEMS for field-testing versus using laboratory equipment and instruments for laboratory testing?

(15) Do results of initial field testing trigger any requirement for additional field testing or laboratory testing?

(16) How do I report field-testing results?

(d) *Field testing and this part 1065.* Use the following specifications for field testing:

(1) Use the applicability and general provisions of subpart A of this part.

(2) Use equipment specifications in § 1065.101 and in the sections from § 1065.140 to the end of subpart B of this part. Section 1065.910 specifies additional equipment specific to field testing.

(3) Use measurement instruments in subpart C of this part, except as specified in § 1065.915.

(4) Use calibrations and verifications in subpart D of this part, except as specified in § 1065.920. Section 1065.920 also specifies additional calibrations and verifications for field testing.

(5) Use the provisions of the standard-setting part for selecting and maintaining engines in the field instead of the specifications in subpart E of this part.

(6) Use the procedures in §§ 1065.930 and 1065.935 to start and run a field test. If you use a gravimetric balance for PM, weigh PM samples according to §§ 1065.590 and 1065.595.

(7) Use the calculations in subpart G of this part to calculate emissions over

each test interval. Note that “test interval” is defined in subpart K of this part 1065, and that the standard setting part indicates how to determine test intervals for your engine.

Section 1065.940 specifies additional calculations for field testing. Use any calculations specified in the standard-setting part to determine if your engines meet the field-testing standards. The standard-setting part may also contain additional calculations that determine when further field testing is required.

(8) Use a typical in-use fuel meeting the specifications of § 1065.701(d).

(9) Use the lubricant and coolant specifications in § 1065.740 and § 1065.745.

(10) Use the analytical gases and other calibration standards in § 1065.750 and § 1065.790.

(11) If you are testing with oxygenated fuels, use the procedures specified for testing with oxygenated fuels in subpart I of this part.

(12) Apply the definitions and reference materials in subpart K of this part.

(e) *Laboratory testing using PEMS.* Use the following specifications when using PEMS for laboratory testing:

(1) Use the applicability and general provisions of subpart A of this part.

(2) Use equipment specifications in subpart B of this part. Section 1065.910 specifies additional equipment specific to testing with PEMS.

(3) Use measurement instruments in subpart C of this part, except as specified in § 1065.915.

(4) Use calibrations and verifications in subpart D of this part, except as specified in § 1065.920. Section 1065.920 also specifies additional calibration and verifications for PEMS.

(5) Use the provisions of § 1065.401 for selecting engines for testing. Use the provisions of subpart E of this part for maintaining engines, except as specified in the standard-setting part.

(6) Use the procedures in subpart F of this part and in the standard-setting part to start and run a laboratory test.

(7) Use the calculations in subpart G of this part to calculate emissions over the applicable duty cycle. Section 1065.940 specifies additional calculations for testing with PEMS.

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(8) Use a fuel meeting the specifications of subpart H of this part, as specified in the standard-setting part.

(9) Use the lubricant and coolant specifications in § 1065.740 and § 1065.745.

(10) Use the analytical gases and other calibration standards in § 1065.750 and § 1065.790.

(11) If you are testing with oxygenated fuels, use the procedures

specified for testing with oxygenated fuels in subpart I of this part.

(12) Apply the definitions and reference materials in subpart K of this part.

(f) *Summary.* The following table summarizes the requirements of paragraphs (d) and (e) of this section:

TABLE 1 OF § 1065.905—SUMMARY OF TESTING REQUIREMENTS THAT ARE SPECIFIED OUTSIDE OF THIS SUBPART J ¹

Subpart	Applicability for field testing	Applicability for laboratory testing with PEMS
A: Applicability and general provisions	Use all	Use all.
B: Equipment for testing	Use § 1065.101 and § 1065.140 through the end of subpart B. § 1065.910 specifies equipment specific to field testing.	Use all. § 1065.910 specifies equipment specific to laboratory testing with PEMS.
C: Measurement instruments	Use all	Use all.
D: Calibrations and verifications	§ 1065.915 allows deviations	§ 1065.915 allows deviations.
E: Test engine selection, maintenance, and durability.	Use all	Use all.
F: Running an emission test in the laboratory.	§ 1065.920 allows deviations, but also has additional specifications.	§ 1065.920 allows deviations, but also has additional specifications.
G: Calculations and data requirements	Do not use	Use all.
H: Fuels, engine fluids, analytical gases, and other calibration materials.	Use standard-setting part	Use all.
I: Testing with oxygenated fuels	Use §§ 1065.590 and 1065.595 for PM ..	Use all.
K: Definitions and reference materials	§ 1065.930 and § 1065.935 to start and run a field test.	Use all.
	Use all	Use all.
	Use standard-setting part	Use standard-setting part.
	§ 1065.940 has additional calculation instructions.	§ 1065.940 has additional calculation instructions.
	Use fuels specified in § 1065.701(d)	Use fuels from subpart H of this part as specified in standard-setting part.
	Use lubricant and coolant specifications in § 1065.740 and § 1065.745.	Use lubricant and coolant specifications in subpart H of this part.
	Use analytical gas specifications and other calibration standards in § 1065.750 and § 1065.790.	Use analytical gas specifications and other calibration standards in § 1065.750 and § 1065.790.
	Use all	Use all.
	Use all	Use all.

¹ Refer to paragraphs (d) and (e) of this section for complete specifications.

EFFECTIVE DATE NOTE: At 73 FR 37344, June 30, 2008, § 1065.905 was amended by revising paragraphs (c)(14) and (e) introductory text, effective July 7, 2008. For the convenience of the user, the revised text is set forth as follows:

§ 1065.905 General provisions.

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(c) * * *

(14) Does any special measurement allowance apply to field-test emission results or standards, based on using PEMS for field-testing versus using laboratory equipment and instruments for laboratory testing?

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(e) *Laboratory testing using PEMS.* You may use PEMS for testing in a laboratory as described in § 1065.901(b). Use the following procedures and specifications when using PEMS for laboratory testing:

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§ 1065.910 PEMS auxiliary equipment for field testing.

For field testing you may use various types of auxiliary equipment to attach PEMS to a vehicle or engine and to power PEMS.

(a) When you use PEMS, you will likely route engine exhaust to a raw-exhaust flow meter and sample probes. Route the engine exhaust as follows: